

Charging Tariff
證券服務收費

香港股票 - 以港幣計價			
(A) 證券交易服務		(A) Trade-related services	
經紀佣金(電話交易)	成交金額之 0.5% 起, 最低收費 HK\$200	Brokerage Commission (Hotline Trade)	Min. 0.5% on transaction amount, minimum HK\$200
證監會交易徵費	成交金額 0.0027%	SFC Transaction Levy	0.0027% on the transaction amount
港交所交易費	成交金額 0.005%	HKEx Trading Fee	0.005% on the transaction amount
政府印花稅	每 HK\$1,000 繳付 HK\$1.3, 不足千元之數亦作千元計(費用不足 HK\$1 之數亦作 HK\$1 計)	Stamp Duty	HK\$1.3 for every HK\$1,000 on transaction amount (or part thereof). Round up to nearest dollars.
中央結算系統服務費	每宗買賣總值的 0.002%, 惟每宗買賣最低收費為 HK\$2 及最高收費為 HK\$100	CCASS Fee	0.002% of gross value of an Exchange trade, subject to a minimum fee of HK\$2 and maximum of HK\$100 per side per trade. (Broker client exempted)
財匯局交易徵費	買賣雙方須分別繳納每宗交易金額 0.00015% 的財匯局交易徵費(計至最接近的仙位數)	FRC Transaction Levy	Payment of Financial Reporting Council Transaction Levy - FRC Transaction Levy is calculated at 0.00015% per side of the consideration of a transaction (rounded to the nearest cent)
(B) 處理實物股票及交收的服務		(B) Script handling and settlement-related services	
提領股票	每手 HK\$5, 每隻股票每宗交易最低收費 HK\$100	Charge for Physical Withdrawal	HK\$5 per board lot (minimum HK\$100 per stock per transaction)
經中央結算轉倉費	轉倉總額之 0.02%, 每隻提取股票最低 HK\$50	CCASS SI Fee	0.02% of gross value of a SI transaction, Minimum: HK\$50 per each stock withdrawal
轉手紙厘印費	每張轉手紙 HK\$5	T/D	HK\$5 for each transfer deed of new certificate
(C) 資訊服務		(C) Information Services	
-	-	-	-
(D) 帳戶服務		(D) Account Maintenance	
郵寄月結單	HK\$50/月(每半年收取一次)	Charge for mailing monthly statement	HK\$50 per month (charged as semi annually)
補回月結單	HK\$50/月(另加call倉費)	Charge for retrieving monthly statement	HK\$50 per month (plus fee for calling storage house)
帳戶審計確認書	HK\$200(每份確認書)	Confirmation for Audit Purposes	HK\$200 per confirmation request
(E) 代理人服務及企業行動		(E) Nominee services and corporate actions	
股票過戶費	每手 HK\$1.5 (股數不足一手亦作一手計算)	Scrip Fee	HK\$1.5 per board lot (Odd lots are charged as board lots)
代收股息	每次按照所代收股息之金額的 0.5% (最低收費為 HK\$20, 最高收費為 HK\$20,000)	Dividend Collection Charge	0.5% on dividend collected for shares under our custody, subject to a minimum of HK\$20 and maximum of HK\$20,000.
代供股權及認股權證	每手 HK\$1 加手續費 HK\$100 (每宗最高收費 HK\$10,000)	Handling Charge for Rights and Warrants Exercise	HK\$1 per board lot + handling fee of HK\$100 (maximum HK\$10,000 per transaction)
在股份登記處辦理股份轉名費	手續費 HK\$200/次	Fee for Change of Registration at Share Registrar	Handling fee HK\$200 per request
股票登記費	每手 HK\$5, 最低收費為 HK\$50 (股數不足一手亦作一手計算)	Charge for Transfer Registration	HK\$5 per board lot, subject to a minimum of HK\$50. (Odd lots are charged as board lots)
(F) 借貸及其他服務		(F) Financing and other services	
保證金客戶利息收費	根據個別客戶在協議書上簽署條款收取, 一般以優惠利率加 5% 至 8%	Interest of Margin Account	Subject to negotiation based on volume of securities transactions, value of securities pledged and the amount of the overdraft usage and facility approved. Normally, it ranges from 5% to 8% over the Prime Rate.
現金客戶利息收費	未能在 T+2 準時完成交收之款項, 本公司將有權收取以最優惠利率加 8% 至 10% 之罰息	Interest of Cash Account	Penalty interest for late and overdue settlement will be charged at 8% to 10% over the Prime Rate from T+2 onwards.
公開發售新股	以代理人名義填表申請, 每份收費 HK\$100	I.P.O. Subscription Charge	HK\$100 per each application.
認購證券利息收費	根據市場利率已定	Financing Charge for Subscription of Securities	Subject to change according to market rate
退票收費	每宗手續費 HK\$300 及另加應罰利息	Charge for Dishonored / Return Cheques	A handling charge of HK\$300 per cheque plus interest.
要求停止支付支票收費	每宗手續費 HK\$300	Charge for Cheque Stop Payment	HK\$300 per cheque.
代客到稅局辦理過戶打厘印	本地客戶: HK\$1,000/次 海外客戶: HK\$1,500/次 (買賣雙方均需繳付)	Charge for stamping at Inland Revenue Dept.	Local client: HK\$1,000 per request Oversea client: HK\$1,500 per request (both parties are required to pay)
貨幣兌換	通過銀行之參考兌換率加收 10 點子作行政費	Currency Conversion	Conversion through bank reference rate & charge 10 basis point for administration cost
本地轉賬提款	每宗手續費 HK\$400	Local Fund Transfer with CHATS	HK\$400 per transaction.
電匯出款	每宗手續費 HK\$400	Fund Transfer with T.T	HK\$400 per transaction.
向銀行詢問未知之匯款人紀錄	每宗手續費 HK\$200	Request historical depositor record from bank	HK\$200 per transaction.

行政費用	資產低於100萬港幣，每月交易佣金低於500元港幣，將會收取200元港幣	Administrative fee	Asset balance less than 1M HKD and monthly commission less than 500 HKD, 200 HKD will be charged
------	--------------------------------------	--------------------	--

香港股票 - 以人民幣計價			
(A) 證券交易服務		(A) Trade-related services	
經紀佣金(電話交易)	成交金額之 0.5% 起，最低收費 CNY\$200	Brokerage Commission (Hotline Trade)	Min. 0.5% on transaction amount, minimum CNY\$200
證監會交易徵費	成交金額 0.0027%*	SFC Transaction Levy	0.0027% on the transaction amount*
港交所交易費	成交金額 0.005%*	HKEx Trading Fee	0.005% on the transaction amount*
政府印花稅	每 HK\$1,000 繳付 HK\$1.3，不足千元之數亦作千元計(費用不足 HK\$1 之數亦作 HK\$1 計)	Stamp Duty	HK\$1.3 for every HK\$1,000 on transaction amount (or part thereof). Round up to nearest dollars.
中央結算系統服務費	相等於每宗買賣總值的 0.002%，惟每宗買賣最低收費為 CNY\$2 及最高收費為 CNY\$100*	CCASS Fee	Equivalent to 0.002% of gross value of an Exchange trade, subject to a minimum fee of CNY\$2 and maximum of CNY\$100 per side per trade. (Broker client exempted)*
(B) 處理實物股票及交收的服務		(B) Script handling and settlement-related services	
提領股票	每手 HK\$5，每隻股票每宗交易最低收費 HK\$30	Charge for Physical Withdrawal	HK\$5 per board lot (minimum HK\$30 per stock per transaction)
經中央結算轉倉費	轉倉總額之 0.02%，每隻提取股票最低 CNY\$50	CCASS SI Fee	0.02% of gross value of a SI transaction, Minimum: CNY\$50 per each stock withdrawal
轉手紙厘印費	每張轉手紙 HK\$5	T/D	HK\$5 for each transfer deed of new certificate
(C) 代理人服務及企業行動		(C) Nominee services and corporate actions	
股票過戶費	每手 CNY\$1.5 (股數不足一手亦作一手計算)	Scrip Fee	CNY\$1.5 per board lot (Odd lots are charged as board lots)
代收股息	每次按照所代收股息之金額的 0.5% (最低收費為 CNY\$20，最高收費為 CNY\$20,000)	Dividend Collection Charge	0.5% on dividend collected for shares under our custody, subject to a minimum of CNY\$20 and maximum of CNY\$20,000.
代供股權及認股權證	每手 CNY\$1 加手續費 CNY\$100 (每宗最高收費 CNY\$10,000)	Handling Charge for Rights and Warrants Exercise	CNY\$1 per board lot + handling fee of CNY\$100 (maximum CNY\$10,000 per transaction)
在股份登記處辦理股份轉名費	手續費 CNY\$200/次	Fee for Change of Registration at Share Registrar	Handling fee CNY\$200 per request
股票登記費	每手 CNY\$5，最低收費為 CNY\$50 (股數不足一手亦作一手計算)	Charge for Transfer Registration	CNY\$5 per board lot, subject to a minimum of CNY\$50. (Odd lots are charged as board lots)
(D) 借貸及其他服務		(D) Financing and other services	
公開發售新股	以代理人名義填表申請，每份收費 CNY\$100	I.P.O. Subscription Charge	CNY\$100 per each application.
認購證券利息收費	根據市場利率已定	Financing Charge for Subscription of Securities	Subject to change according to market rate
退票收費	每宗手續費 HK\$300 及另加應罰利息	Charge for Dishonored / Return Cheques	A handling charge of HK\$300 per cheque plus interest.
要求停止支付支票收費	每宗手續費 HK\$300	Charge for Cheque Stop Payment	HK\$300 per cheque.
代客到稅局辦理過戶打厘印	本地客戶: HK\$1,000/次 海外客戶: HK\$1,500/次 (買賣雙方均需繳付)	Charge for stamping at Inland Revenue Dept.	Local client: HK\$1,000 per request Oversea client: HK\$1,500 per request (both parties are required to pay)
向銀行詢問未知之匯款人紀錄	每宗手續費 HK\$200	Request historical depositor record from bank	HK\$200 per transaction.
行政費用	資產低於100萬港幣，每月交易佣金低於500元港幣，將會收取200元港幣	Administrative fee	Asset balance less than 1M HKD and monthly commission less than 500 HKD, 200 HKD will be charged

* Client trading in securities denominated in Renminbi is required to pay consideration, taxes, fees and commission in Renminbi. Since Transaction Levy, Trading Fee, CCASS Fee and Stamp Duty are required to be paid to the relevant authorities in Hong Kong Dollars, the exchange rate for each trading day are posted on the HKEx website: http://www.hkex.com.hk/chi/market/sec_tradinfo/stampfx/stampfx_c.asp by 11:00 a.m. or earlier on that day. For Renminbi-Denominated Securities, other fees will be calculated in HK\$ first and settled in CNY\$ accordingly.

* 客戶買賣人民幣計價證券涉及之交易金額、稅項、費用及佣金均以人民幣計算及支付。惟有關之交易徵費、交易費、中央結算系統服務費、印花稅須以港幣支付予有關機構，本公司會根據每個交易日的上午11時前，港交所網站會刊登有關匯率於 http://www.hkex.com.hk/chi/market/sec_tradinfo/stampfx/stampfx_c.asp。人民幣計價產品的其他收費將以港幣金額計算後以等值人民幣收取。

滬股通股票 - 以人民幣計價		(A) Trade-related services	
(A) 證券交易服務			
經紀佣金(電話交易)	成交金額之 0.5% 起, 最低收費 CNY\$200	Brokerage Commission (Hotline Trade)	Min. 0.5% on transaction amount, minimum CNY\$200
上交所經手費	成交金額 0.00487%	SSE Handling Fee	0.00487% on the transaction amount
中國證監會證管費	成交金額 0.002%	China Securities Regulatory Commission Securities Management Fee	0.002% on the transaction amount
中國結算過戶費	成交金額 0.004%	ChinaClear Transfer Fee	0.004% on the transaction amount
國家稅務總局交易印花稅	只收賣方成交金額 0.1%	SAT Stamp Duty	0.1% of transaction amount on the seller
新中央結算系統服務費	(待香港證監會批准)	New CCASS Fee	(Subject to SFC's approval)
國家稅務總局資本增值稅	適用於股份賣出 (待國家稅務總局釐清)	SAT Capital Gain Tax	Applicable to share disposal (Subject to clarification with SAT)
證券組合費 <每月收取 >	0.01% p.a. (以每日證券組合價值計算) (HK\$)	Portfolio fee <Charged by Monthly>	0.01% p.a. (Daily calculated by market value of Portfolio) (HK\$)
(B) 股票交收服務		(B) Stock Settlement-Related Services	
經中央結算轉倉費	轉倉總額之 0.02% , 每隻提取股票最低 CNY\$50	CCASS SI Fee	0.02% of gross value of a SI transaction, Minimum: CNY\$50 per each stock withdrawal
(C) 代理人服務及企業行動		(C) Nominee services and corporate actions	
代收股息	每次按照所代收股息之金額的 0.5% (最低收費為 CNY\$20, 最高收費為 CNY\$20,000)	Dividend Collection Charge	0.5% on dividend collected for shares under our custody, subject to a minimum of CNY\$20 and maximum of CNY\$20,000.
國家稅務總局紅利稅	(待國家稅務總局釐清)	SAT Dividend Tax	(Subject to clarification with SAT)
(D) 其他服務		(D) Other services	
退票收費	每宗手續費 HK\$300 及另加應罰利息	Charge for Dishonored / Return Cheques	A handling charge of HK\$300 per cheque plus interest.
要求停止支付支票收費	每宗手續費 HK\$300	Charge for Cheque Stop Payment	HK\$300 per cheque.
向銀行詢問未知之匯款人紀錄	每宗手續費 HK\$200	Request historical depositor record from bank	HK\$200 per transaction.
行政費用	資產低於 100 萬港幣, 每月交易佣金低於 500 元港幣, 將會收取 200 元港幣	Administrative fee	Asset balance less than 1M HKD and monthly commission less than 500 HKD, 200 HKD will be charged

1. 紅利稅將於「滬股通」股票發行人及中國結算分派時預扣。

Dividend tax will be withheld by issuers of SSE securities and ChinaClear upon dividend payment.

深股通股票 - 以人民幣計價		(A) Trade-related services	
(A) 證券交易服務			
經紀佣金(電話交易)	成交金額之 0.50% 起, 最低收費 CNY\$200	Brokerage Commission (Hotline Trade)	Min. 0.50% on transaction amount, minimum CNY\$200
深交所經手費	成交金額 0.00487%	SZSE Handling Fee	0.00487% on the transaction amount
中國證監會證管費	成交金額 0.002%	China Securities Regulatory Commission Securities Management Fee	0.002% on the transaction amount
中國結算過戶費	成交金額 0.004%	ChinaClear Transfer Fee	0.004% on the transaction amount
國家稅務總局交易印花稅	只收賣方成交金額 0.1%	SAT Stamp Duty	0.1% of transaction amount on the seller
新中央結算系統服務費	(待香港證監會批准)	New CCASS Fee	(Subject to SFC's approval)
國家稅務總局資本增值稅	適用於股份賣出 (待國家稅務總局釐清)	SAT Capital Gain Tax	Applicable to share disposal (Subject to clarification with SAT)
證券組合費 <每月收取 >	0.01% p.a. (以每日證券組合價值計算) (HK\$)	Portfolio fee <Charged by Monthly>	0.01% p.a. (Daily calculated by market value of Portfolio) (HK\$)
(B) 股票交收服務		(B) Stock Settlement-Related Services	
經中央結算轉倉費	轉倉總額之 0.02% , 每隻提取股票最低 CNY\$50	CCASS SI Fee	0.02% of gross value of a SI transaction, Minimum: CNY\$50 per each stock withdrawal
(C) 代理人服務及企業行動		(C) Nominee services and corporate actions	
代收股息	每次按照所代收股息之金額的 0.5% (最低收費為 CNY\$20, 最高收費為 CNY\$20,000)	Dividend Collection Charge	0.5% on dividend collected for shares under our custody, subject to a minimum of CNY\$20 and maximum of CNY\$20,000.
國家稅務總局紅利稅	(待國家稅務總局釐清)	SAT Dividend Tax	(Subject to clarification with SAT)
(D) 其他服務		(D) Other services	
退票收費	每宗手續費 HK\$300 及另加應罰利息	Charge for Dishonored / Return Cheques	A handling charge of HK\$300 per cheque plus interest.
要求停止支付支票收費	每宗手續費 HK\$300	Charge for Cheque Stop Payment	HK\$300 per cheque.
向銀行詢問未知之匯款人紀錄	每宗手續費 HK\$200	Request historical depositor record from bank	HK\$200 per transaction.
行政費用	資產低於 100 萬港幣, 每月交易佣金低於 500 元港幣, 將會收取 200 元港幣	Administrative fee	Asset balance less than 1M HKD and monthly commission less than 500 HKD, 200 HKD will be charged

1. 紅利稅將於「深股通」股票發行人及中國結算分派時預扣。

Dividend tax will be withheld by issuers of SZSE securities and ChinaClear upon dividend payment.

海外股票 (Foreign Stocks)			
Market 市場	Brokerage rate 經紀佣金	Minimum Commission 佣金最低收費	Settlement Currency 可交收幣別
US 美國	USD\$0.015 per share 每股美元 \$0.015	USD\$10 per order 每筆訂單最低美元 \$10	USD 美元
Taiwan 台灣	0.25%	NTD 300	NTD 新台幣
Other Markets 其他市場	On request basis 詳情請與本公司聯絡		
<p>1. Commission for these overseas stock markets will NOT be calculated on amalgamate basis, all the other necessary handling fees such as clearing fees and transaction levy etc. in addition to brokerage fee will apply. 佣金按每宗交易計算；除交易佣金外，客戶須額外繳付其他必須之收費如結算費及交易徵費等。</p> <p>2. Out-of packet expenses will be paid by client. 若有其他費用發生，均需由客戶付款。</p> <p>3. Clearing fee is required for US stock brokering service, USD\$0.003 per share, minimum USD\$1 per order. 美國股票須收取交收費，每股美元 \$0.003，每筆訂單最低美元 \$1。</p> <p>4. US stock dividend tax is charged by IRS, deduct 30% dividend tax before credit to the client's account. 美國股票股息稅由美國稅務局收取，派發時自動預扣30%。</p> <p>5. US stock transfer fee is charged when transfer stocks to other brokers, USD\$100 per stock. 美國股票轉倉費，轉倉到其他券商時收取，每隻股票美元 \$100。</p> <p>6. Taiwan stock transaction tax for sale, 0.3% of gross consideration on sales for listed stock, 0.1% of gross consideration for corporate debenture and repurchase agreement. 台灣股票證券交易稅，上市股票證券交易稅 0.3%，可轉證券交易稅 0.1%。</p> <p>7. Taiwan stock transaction handling and cable fee, NTD 2,300 per transaction. 台灣股票證券保管銀行處理費及交割費，單日單種股票買進（賣出）為新台幣 2,300。（若有買進及賣出，則視為 2 筆交割費）。</p> <p>8. Taiwan stock QFII holding tax, 20% for interest income, cash dividend and stock dividend from capital surplus. 台灣股票QFII稅率，利息收入、現金股利、盈餘轉增資均為 20% 的稅率。</p> <p>9. Taiwan stock bank remittance fee, USD\$ 60. 客戶若指示台灣股票保管銀行匯出匯款，保管銀行匯款手續費為美元 \$60。</p> <p>10. Custody fee 0.12% p.a. is required for Taiwan stock brokering service. 台灣股票須收取托管費 0.12% (年率)。</p> <p>11. Asset balance less than 1M HKD and monthly commission less than 500 HKD, 200 HKD will be charged as administrative fee. 資產低於100萬港幣，每月交易佣金低於500元港幣，將會收取200元港幣行政費用。</p>			
場外交易 (OTC Market)			
債券經紀佣金	最高為買賣金額之2%	Bonds Brokerage Commission	Maximum 2% of transaction amount
存托憑證經紀佣金	最高為買賣金額之2%	Depository Receipts Brokerage Commission	Maximum 2% of transaction amount
交易費	每筆 US\$50 (每筆 US\$200 - 美國市場)	Transaction Fee	US\$50 per transaction (US\$200 per transaction - U.S. Market)
托管費	0.1% (年率)	Custody Fee	0.1% p.a.
轉戶指示(轉倉)	每宗 US\$65	Transfer Fee	US\$65 per transfer
債券換股	每宗 US\$65	Bonds Conversion Fee	US\$65 per exchange
註銷存託憑證	每宗 US\$65 + 每一單位 US\$0.05	Depository Receipts Cancellation Fee	US\$65 per cancellation + US\$0.05 per unit
基金行政費	於一年內退出會收取行政費，一般為總淨資產值0.5%，但轉換成蜂投證券提供的其他基金產品可視情況不收此行政費，此費用由蜂投證券收取	The administrative fee of fund product	An administrative fee of 0.5% of the total net asset value will be levied within one year of redemption. But can waive if the client converted to other fund products, which provided by Beevest Securities Limited. The administrative fee is charged by Beevest Securities Limited.

Remarks: Commission, fees and charges are subject to changes without notice.

註：各項收費如有任何更改，恕不另行通告。

The above fee schedule is subject to revision from time to time at Beevest Securities Limited sole discretion.

蜂投證券有限公司擁有對上述之服務收費表的最終修訂權。